

March 14, 2016

1400 Benedum-Trees Building  
223 Fourth Avenue  
Pittsburgh, Pennsylvania 15222

Telephone 412/288-0360  
Facsimile 412/288-0366  
From West Virginia 800/223-5948

John M. Maher, Ph.D.  
Executive Director  
Marshall University Research Corporation  
One John Marshall Dr.  
Huntington, WV 25755

Re: Grant Reference #20160001

Dear John:

I am happy to confirm that the Board of Trustees of the Claude Worthington Benedum Foundation at its March 8, 2016 meeting authorized a grant, payable to Marshall University Research Corporation, in the amount of \$250,000, to provide resources and training to the perinatal oral health network, dental services for aging populations, and evaluation and services for school-based oral health programs.

In consideration for the making and payment of the grant, *Marshall University Research Corporation* represents and agrees as follows:

**1. Intended Outcomes**

Intended outcomes from this project and the focus of Benedum Foundation grant funds will be:

1. Educate perinatal medical and dental providers in the oral health care of birth to preschool aged children and provide tools necessary to implement oral health interventions, develop a unified health message system for new parents.
2. Increase access to preventative oral health services to elementary school children currently being served in West Virginia.
3. Develop a model for direct service delivery for West Virginia's aging population (age 65 and over).

Progress will be measured by outcomes as noted above.

**2. Payment Conditions**

Payment of the grant will be made upon receipt of the enclosed copy of this grant agreement letter bearing your original signature, provided all interim and final grant reports due with respect to any other grant made to Marshall University Research Corporation have been submitted to and accepted by the Benedum Foundation.

**3. Reporting Requirements**

A final report is due the Benedum Foundation by April 30, 2017, or following final expenditure of all grant funds, if sooner. The online Grant Report requires a "Permission to Submit" signature by an authorized officer of Marshall University Research Corporation.

Online Grant Report and Budget Forms are posted to the web 30 days prior to the due date and may be accessed through your *My Account* under the "Requirements" heading.

**4. Tax Exempt Status**

Marshall University Research Corporation is exempt from Federal Income Tax under Section 501(c)(3) of the Internal Revenue Code and has been determined by the Internal Revenue Service to be "other than a private foundation" within the meaning of Code Section 509(a)1. There have been no material changes in the purpose, character or method of operation of Marshall University Research Corporation since the issuance of the above determinations to it by the Service, and it has no reason to believe, nor has it been advised, that said determinations have been or may be revoked or modified.

**5. Use of Funds**

The proceeds of the grant shall be used exclusively for the purposes set forth in the proposal, grant application, and accompanying materials. No part of the grant will be used for other than charitable purposes, nor for any purpose described in and prohibited by Section 4945 of the Internal Revenue Code, including but not limited to: (a) funding or otherwise supporting terrorism, terrorist acts or terrorist organizations as defined in the Patriot Act and related laws, or by Executive Order 13224; (b) carrying on propaganda, or otherwise attempting to influence legislation within the meaning of section 4945 (d)(1) of the Code; (c) influencing the outcome of any specific public election, or carrying on, directly or indirectly, any voter registration drive within the meaning of section 4945 (d)(2) of the Code; (d) making any disbursement which does not comply with the requirements of section 4945 (d)(3) or (4) of the Code; or (e) undertaking any activity for any purpose other than one specified in section 170(c)(2)(B) of the Code. Marshall University Research Corporation will promptly reimburse the Benedum Foundation for any portion of the proceeds of the grant not used for the purposes of the grant or used for a purpose prohibited above.

**6. Accounting Records**

Marshall University Research Corporation shall make its accounting records available for inspection by the Benedum Foundation during reasonable business hours for a period beginning with the date of the grant and ending four years after the last grant funds have been expended.

**7. Press Release**

The Benedum Foundation normally does not issue a press release with respect to individual grants. Your organization is encouraged to issue a press release. You may refer to the Benedum Foundation in such press release, provided you give us the opportunity to approve the text in advance.

**8. Sharing of Information**

The Benedum Foundation, in complying with its obligation to exercise due diligence with respect to grant and grant outcomes, may seek or share information about Marshall University Research Corporation, or this specific grant, from or with other organizations, including other funders or potential funders.

The *Grant Reference #20160001* is the identification number assigned to this particular grant and *must accompany all correspondence* relating to this project. We appreciate your cooperation in assisting us with our record keeping.

John M. Maher, Ph.D.  
March 14, 2016  
Page 4

Congratulations on this award. If you have any questions, please feel free to call me.

Yours truly,



Kim Barber Tieman  
Program Officer

Enclosure

cc: Richard Crespo, Ph.D.

The undersigned hereby accepts and agrees to the terms and representations set forth in the foregoing letter.

MARSHALL UNIVERSITY RESEARCH CORPORATION

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John M. Maher, Ph.D.  
Executive Director

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Date